

02-03-03

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/001,494
	Filing Date	October 31, 2001
	First Named Inventor	Roberto A. Capodiecì
	Group Art Unit	Unassigned
	Examiner Name	Unassigned
	Attorney Docket Number	12598US03

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee-Attached <input checked="" type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

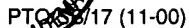
Firm or Individual Name	McAndrews Held & Malloy, Ltd.
Signature	<i>Joseph M. Balich</i>
Date	January 31, 2003

EXPRESS MAIL MAILING NO. EL 848999225 US

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: January 31, 2003.

Name (Print/type)	Joseph M. Balich	Registration No. (Attorney/Agent)	42,291
Signature	<i>Joseph M. Balich</i>	Date	January 31, 2003

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Patent Fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$) 642.00
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Complete if Known

Application Number	10/001,494
Filing Date	December 31, 2001
First Named Inventor	Robert A. Capodieci
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	12598US03

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number
Deposit
Account
Name

13-0017

McAndrews Held & Malloy

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:
☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity
1. Basis	Cost	Cost
2. Depreciation	MACRS	MACRS
3. Section 179	Yes	Yes
4. Bonus Depreciation	Yes	Yes
5. UCC 12	Yes	Yes
6. Section 179E	Yes	Yes
7. Section 179D	Yes	Yes
8. Section 179F	Yes	Yes
9. Section 179G	Yes	Yes
10. Section 179H	Yes	Yes
11. Section 179I	Yes	Yes
12. Section 179J	Yes	Yes
13. Section 179K	Yes	Yes
14. Section 179L	Yes	Yes
15. Section 179M	Yes	Yes
16. Section 179N	Yes	Yes
17. Section 179O	Yes	Yes
18. Section 179P	Yes	Yes
19. Section 179Q	Yes	Yes
20. Section 179R	Yes	Yes
21. Section 179S	Yes	Yes
22. Section 179T	Yes	Yes
23. Section 179U	Yes	Yes
24. Section 179V	Yes	Yes
25. Section 179W	Yes	Yes
26. Section 179X	Yes	Yes
27. Section 179Y	Yes	Yes
28. Section 179Z	Yes	Yes
29. Section 179AA	Yes	Yes
30. Section 179AB	Yes	Yes
31. Section 179AC	Yes	Yes
32. Section 179AD	Yes	Yes
33. Section 179AE	Yes	Yes
34. Section 179AF	Yes	Yes
35. Section 179AG	Yes	Yes
36. Section 179AH	Yes	Yes
37. Section 179AI	Yes	Yes
38. Section 179AJ	Yes	Yes
39. Section 179AK	Yes	Yes
40. Section 179AL	Yes	Yes
41. Section 179AM	Yes	Yes
42. Section 179AN	Yes	Yes
43. Section 179AO	Yes	Yes
44. Section 179AP	Yes	Yes
45. Section 179AQ	Yes	Yes
46. Section 179AR	Yes	Yes
47. Section 179AS	Yes	Yes
48. Section 179AT	Yes	Yes
49. Section 179AU	Yes	Yes
50. Section 179AV	Yes	Yes
51. Section 179AW	Yes	Yes
52. Section 179AX	Yes	Yes
53. Section 179AY	Yes	Yes
54. Section 179AZ	Yes	Yes
55. Section 179BA	Yes	Yes
56. Section 179BB	Yes	Yes
57. Section 179BC	Yes	Yes
58. Section 179BD	Yes	Yes
59. Section 179BE	Yes	Yes
60. Section 179BF	Yes	Yes
61. Section 179BG	Yes	Yes
62. Section 179BH	Yes	Yes
63. Section 179BI	Yes	Yes
64. Section 179BJ	Yes	Yes
65. Section 179BK	Yes	Yes
66. Section 179BL	Yes	Yes
67. Section 179BM	Yes	Yes
68. Section 179BN	Yes	Yes
69. Section 179BO	Yes	Yes
70. Section 179BP	Yes	Yes
71. Section 179BQ	Yes	Yes
72. Section 179BR	Yes	Yes
73. Section 179BS	Yes	Yes
74. Section 179BT	Yes	Yes
75. Section 179BU	Yes	Yes
76. Section 179BV	Yes	Yes
77. Section 179BW	Yes	Yes
78. Section 179BX	Yes	Yes
79. Section 179BY	Yes	Yes
80. Section 179BZ	Yes	Yes
81. Section 179CA	Yes	Yes
82. Section 179CB	Yes	Yes
83. Section 179CC	Yes	Yes
84. Section 179CD	Yes	Yes
85. Section 179CE	Yes	Yes
86. Section 179CF	Yes	Yes
87. Section 179CG	Yes	Yes
88. Section 179CH	Yes	Yes
89. Section 179CI	Yes	Yes
90. Section 179CJ	Yes	Yes
91. Section 179CK	Yes	Yes
92. Section 179CL	Yes	Yes
93. Section 179CM	Yes	Yes
94. Section 179CN	Yes	Yes
95. Section 179CO	Yes	Yes
96. Section 179CP	Yes	Yes
97. Section 179CQ	Yes	Yes
98. Section 179CR	Yes	Yes
99. Section 179CS	Yes	Yes
100. Section 179CT	Yes	Yes
101. Section 179CU	Yes	Yes
102. Section 179CV	Yes	Yes
103. Section 179CW	Yes	Yes
104. Section 179CX	Yes	Yes
105. Section 179CY	Yes	Yes
106. Section 179CZ	Yes	Yes
107. Section 179DA	Yes	Yes
108. Section 179DB	Yes	Yes
109. Section 179DC	Yes	Yes
110. Section 179DD	Yes	Yes
111. Section 179DE	Yes	Yes
112. Section 179DF	Yes	Yes
113. Section 179DG		

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	

1001	750	2001	375	Utility filing Fee
1002	330	2002	165	Design filing Fee
1003	520	2003	260	Plant filing fee
1004	750	2004	375	Reissue filing fee
1005	160	2005	80	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

		Previously Paid	Extra Claims This Amendment	Fee
Total Claims	23 - 20** =	20 x	3 =	\$54.00
Independent Claims	10 - 3** =	3 x	7 =	\$88.00
Multiple Dependent				

Large Entity		Small Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)

1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$642.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entty Fee (\$)	Fee Code	Small Entty Fee (\$)	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	410	2252	205	Extension for reply within second month
1253	930	2253	465	Extension for reply within third month
1254	1,450	2254	725	Extension for reply within fourth month
1255	1,970	2255	985	Extension for reply within fifth month
1401	320	2401	160	Notice of Appeal
1402	320	2402	160	Filing a brief in support of an appeal
1403	280	2403	140	Request for oral hearing
1451	1,510	1451	1510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,300	2453	650	Petition to revive - unintentional
1501	1,300	2501	650	Utility issue fee (or reissue)
1502	470	2502	235	Design issue fee
1503	610	2503	315	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))
1801	750	2801	375	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application
Other fee (specify)				

Other fee (specify)

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SUBTOTAL (3)	(\$)
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SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Joseph M. Barich

Registration No.
(Attorney or Agent)

42,291

Telephone

312/775-8000

Signature

Date _____

January 31, 2003

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UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 12598US03)

10/15
DP
1/16/3

In the Application of:

Roberto A. Capodiec

Serial No. **10/001,494**

Filed: **October 31, 2001**

For: **Ultrasonically Activated
Continuous Slitter
Apparatus and Method**

Examiner: **Unassigned**

Group Art Unit: **1761**

EL 848999225 US
EXPRESS MAIL-NO.

January 31, 2003
DATE

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Examiner:

Prior to examination of the present application, please enter the following

Preliminary Amendment.

02/05/2003 DEMHANU1 00000073 10001494

01 FC:1201 588.00 DP
02 FC:1202 54.00 DP

04/16/2003 DFLOYD 00000001 130017 10001494

01 FC:1201 34.00 CH

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